### UNITED STATES BANKRUPTCY COURT

#### SOUTHERN DISTRICT OF NEW YORK

	X
	)
In re:	) Chapter 11
	)
LEHMAN BROTHERS HOLDINGS INC., et al.,	) Case No. 08-13555 (JMP)
	)
	)
	)
Debtors	) (Jointly Administered)
	X

# TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Credit Suisse International BedRok Securities LLC Name of Transferee Name of Transferor

Name and Address where notices to transferee Case No.: 08-13555 should be sent:

# **Credit Suisse International**

One Cabot Square London

E14 4QJ, United Kingdom Attn: Ashwinee Sawh Tel: 212-538-2905

Fax: N/A

e-mail: ashwinee.sawh@credit-suisse.com

Court Claim No.: 66655

Amount of Claim Transferred: \$10,000,000.00

Date Claim Filed: 5/20/2010

Debtor: Lehman Brothers Holdings Inc.

Name and Address where notices to transferor should be sent:

### **BedRok Securities LLC**

Attn: Rick Caplan

555 Theodore Fremd Avenue

Suite C-301

Rye, New York 10580

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I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Ву:\_\_\_\_ Д.\_\_\_\_

Date: January 28, 2014

Transferee/Transferee's Agent

Tansperee Tansperee's typent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

By:

NYC:255703.1

#### **EVIDENCE OF TRANSFER**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

BedRok Securities LLC ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of an Assignment of Claim agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to Credit Suisse International ("Buyer"), all right, title and interest in and to the claim of Seller against LEHMAN BROTHERS HOLDINGS INC. in the amount of \$10,000,000.00 based on a certain Termination and Settlement Agreement dated as of May 10, 2010 (the "Assigned Claim"), being part of the claims included in that proof of claim docketed as Claim No. 66655 which amended Claim No. 14212 (the "Proof of Claim") in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered). For the avoidance of doubt, Seller has not assigned any claim included in the Proof of Claim other than the Assigned Claim.

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Assigned Claim as an unconditional assignment and sale and Buyer herein as the valid owner of the Assigned Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Assigned Claim to Buyer.

IN WITNESS WHEREOF, dated as of the 28 th day of January, 2014

BEDROK SECURITIES LLC

Name: Rick Caplan

Title: President

CREDIT SUISSE INTERNATIONAL

By:\_\_\_\_\_

Title:

#### **EVIDENCE OF TRANSFER**

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Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Assigned Claim as an unconditional assignment and sale and Buyer herein as the valid owner of the Assigned Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Assigned Claim to Buyer.

IN WITNESS WHEREOF, dated as of the 27th day of January, 2014

Bv:

# **BEDROK SECURITIES LLC**

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Title:		
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By:	She by	4WW
Name:	Sport Fred	Authorized Signatory
Title:	Authorities and about	